

Please type a plus sign (+) inside this box

PTO SB 0511-98
Approved for use through 09-30-2000 OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 0756-2232

First Inventor or Application Identifier: Shunpei YAMAZAKI et al.

Title: LIQUID CRYSTAL PANEL AND LIQUID CRYSTAL PROJECTOR

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 1 ☒ Fee Transmittal Form (e.g., PTO SB 17)
Submit an original, and a duplicate for fee processing: Total Pages [68]
- 2 ☒ Specification
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the DisclosureTotal Sheets [27]
Total Pages [5]
- 3 ☒ Drawing(s) (35 USC 113)
- 4 ☒ Oath or Declaration
 - a ☒ Newly executed (original or copy)
 - b ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application.
see 37 CFR 1.63(d)(2) and 1.33(b)
- 5 ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b,
is considered to be part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein

- 6 ☐ Microfiche Computer Program (Appendix)
- 7 Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a ☐ Computer Readable Copy
 - b ☐ Paper Copy (identical to computer copy)
 - c ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8 ☒ Assignment Papers (cover sheet & document(s))
- 9 ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- 10 ☐ English Translation Document (if applicable)
- 11 ☒ Information Disclosure Statement ☒ Copies of IDS
(IDS)/PTO-1449 Citations
- 12 ☐ Preliminary Amendment
- 13 ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- 14 ☐ *Small Entity ☐ Statement filed in prior application.
Statement(s) Status still proper and desired
(PTO/SB/09-12)
- 15 ☒ Certified Copy of Japanese Priority Document
No. 11-339056 Filed November 30, 1999
- 16 ☐ Other

*A new statement is required to be entitled to pay small entity fees,
except where one has been filed in a prior application and is being
relied upon

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____
Group/Art Unit: _____
- Prior application information: Examiner: _____

18. CORRESPONDENCE ADDRESS

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Customer No. 22204

or ☒ Correspondence address below

Insert Customer No. or Attach bar code label here:

Name: Eric J. Robinson
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FAX: (703) 883-0370

Name: Eric J. Robinson

Registration No. 38,285

Date: 11/22/00

Signature: 

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997. Small Entity
payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms
PTO SB 23-12

Complete If Known

Application Number
Filing Date November 22 2000
First Named Inventor Shunpei (AMAZAKI et al
Examiner Name
Group Art Unit
Attorney Docket Number 0756-2232

TOTAL AMOUNT OF PAYMENT \$2,838.00

METHOD OF PAYMENT (check one)

- 1 ☒ [X] The Commissioner is hereby authorized to charge indicated fees and
direct any over payments to
Deposit Account No. 19-2380
Deposit Account Name NIXON PEAODY LLP

[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
[] Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of
Allowance

2 ☒ [X] Payment Enclosed
☒ [X] Check ☐ [] Money Order ☐ [] Other

FEE CALCULATION

1 BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)
101 710	201 355
106 320	206 160
107 490	207 245
108 710	208 355
114 150	214 75

Fee Description
Utility filing fee
Design filing fee
Plant filing fee
Reissue filing fee
Provisional filing fee

Fee Paid
[710]
[]
[]
[]
[]

SUBTOTAL (1)

\$710.00

2 EXTRA CLAIM FEES

Extra Claims Fee from Below Fee

Paid
Total Claims 96 - 20** = 76 X \$18.00 = \$1,368.00
Independent Claims 12 - 3** = 9 X \$80.00 = \$ 720.00
Multiple Dependent Claims \$270.00 = \$

**or number previously paid, if greater. For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)
103 18	203 9
102 80	202 40
104 270	204 135
109 80	209 40**
110 18	210 9**

Fee Description

Claims in excess of 20
Independent claims in excess of 3
Multiple dependent claim
40**Reissue independent claims over original patent
9**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$2,088.00

FEE CALCULATION (continued)

3 ADDITIONAL FEES

Large Entity

Small Entity

Fee Code

Fee (\$)

Fee Code

Fee (\$)

Fee Description

Fee Paid

105

120

200

65

Surcharge-late filing fee or path

127

50

200

25

Surcharge-late provisional filing fee or cover sheet

139

100

100

130

Non-English specification

147

2,520

110

2,520

For filing a request for reexamination

112

920*

112

920*

Requesting publication of SIR prior to Examiner action

113

1,840*

113

1,840*

Requesting publication of SIR after Examiner action

115

110

215

55

Ext for reply within first month

116

390

216

190

Ext for reply within second mth

117

870

217

435

Ext for reply within third mth

118

1,260

218

680

Ext for reply within fourth mth

119

1,850

218

925

Ext for reply within fifth month

120

300

219

150

Notice of Appeal

121

260

221

130

Filing brief in support of appeal

122

260

221

130

Request for Oral Hearing

123

50

123

50

Petition to institute public use proceeding

140

110

240

55

Petition to revive-unavoidable

141

1,110

241

605

Petition to revive-unintentional

142

1,210

242

605

Utility issue fee (or reissue)

143

430

243

215

Design issue fee

144

580

244

290

Plant issue fee

122

130

122

130

Petitions to the Commissioner

123

50

123

50

Petitions related to provisional applications

126

240

126

240

Submission of IDS

581

40

581

40

Recording each patent assignment per property (times number of properties)

146

760

246

380

Filing a submission after final rejection (37 CFR 1.129(a))

149

760

249

380

For each additional invention to be examined (37 CFR 1.129(b))

Other _____

Other _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40.00

Complete (if applicable)

SUBMITTED BY

Typed or Printed Name

Eric J. Robinson

Reg. Number

38,285

Signature

Date

11/24/00

Deposit Account User ID

19-2380

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